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NOTICE OF ALLOWANCE AND FEE(S) DUE

47670

7590

08/13/2009

KELLEY DRYE & WARREN LLP 400 ALTLANTIC STREET, 13TH FLOOR STAMFORD, CT 06901

| EXAMINER | | | | | |
|--------------|--------------|--|--|--|--|
| CLOW, LORI A | | | | | |
| ART UNIT | PAPER NUMBER | | | | |
| 1631 | | | | | |

DATE MAILED: 08/13/2009

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/091,360 | 03/04/2002 | Petros Tsipouras | IK-110.3(C) | 1541 |

TITLE OF INVENTION: METHOD AND APPARATUS FOR COMPUTER CONTROLLED RARE CELL, INCLUDING FETAL CELL, BASED

DIAGNOSIS

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 11/13/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

| CURRENT CORRESPONDI | ENCE ADDRESS (Note: Use Bl | ock 1 for any change of address) | Fee(| (s) Transmittal, This | certif | icate cannot be used for | r domestic mailings of the or any other accompanying nt or formal drawing, must |
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| 47670 | 7590 08/13 | /2009 | have | e its own certificate | of mai | ling or transmission. | nt or formal drawing, must |
| KELLEY DRY | YE & WARREN L C STREET , 13TH | LP | I he State addr trans | Certi reby certify that this es Postal Service wi ressed to the Mail smitted to the USPT | ificates Fee(s th suf Stop O (57 | of Mailing or Transr Transmittal is being ficient postage for first SSUE FEE address 273-2885, on the da | nission deposited with the United t class mail in an envelope above, or being facsimile tte indicated below. |
| | | | | | | | (Depositor's name) |
| | | | | | | | (Signature) |
| | | | | | | | (Date) |
| APPLICATION NO. | FILING DATE | | FIRST NAMED INVENTOR | | ATTO] | RNEY DOCKET NO. | CONFIRMATION NO. |
| 10/091,360 TITLE OF INVENTION DIAGNOSIS | 03/04/2002 N: METHOD AND AI | PPARATUS FOR COM | Petros Tsipouras IPUTER CONTROLLED | RARE CELL, IN | | IK-110.3(C) ING FETAL CELL, | 1541 BASED |
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| nonprovisional | NO | \$1510 | \$300 | \$0 | | \$1810 | 11/13/2009 |
| EXAM | INER | ART UNIT | CLASS-SUBCLASS |] | | | |
| CLOW, | LORI A | 1631 | 702-019000 | • | | | |
| 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. The Address indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. | | | era 2 | | | | |
| PLEASE NOTE: Unl recordation as set forti (A) NAME OF ASSIG | ess an assignee is ident h in 37 CFR 3.11. Comp GNEE | ified below, no assignee oletion of this form is NO | T a substitute for filing an (B) RESIDENCE: (CITY | atent. If an assigne assignment. ' and STATE OR CO | OUNT | RY) | ocument has been filed for |
| Please check the appropri | iate assignee category or | | rinted on the patent): \Box | | | | - ' |
| 4a. The following fee(s) are submitted: ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies | | | b. Payment of Fee(s): (Plea A check is enclosed. Payment by credit car The Director is hereby overpayment, to Depo | d. Form PTO-2038 | is atta | ched. | |
| •• | s SMALL ENTITY statu | is. See 37 CFR 1.27. | ☐ b. Applicant is no long | 0 0 | | | FR 1.27(g)(2). e assignee or other party in |
| interest as shown by the i | d Publication Fee (if requeecords of the United Sta | uired) will not be accepte tes Patent and Trademark | d from anyone other than the Office. | he applicant; a regis | tered a | ittorney or agent; or the | e assignee or other party in |
| Authorized Signature | | | | Date | | | |
| Typed or printed name | | | | Registration No | o | | |
| an application. Confident submitting the completed this form and/or suggesti | tiality is governed by 35 application form to the ons for reducing this but irginia 22313-1450. DC | U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to th | on is required to obtain or r 1.14. This collection is est depending upon the indiv the Chief Information Office COMPLETED FORMS TO | timated to take 12 m ridual case. Any cor er. U.S. Patent and T | inutes nment Traden | to complete, including s on the amount of tin park Office, U.S. Depa | g gathering, preparing, and ne you require to complete attment of Commerce, P.O. |

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| 10/091,360 | 10/091,360 03/04/2002 Petros Tsipouras | | IK-110.3(C) | 1541 | |
| 47670 75 | 590 08/13/2009 | | EXAM | INER | |
| KELLEY DRYE | & WARREN LLP | CLOW, LORI A | | | |
| | STREET, 13TH FLO | OR | ART UNIT | PAPER NUMBER | |
| STAMFORD, CT 06901 | | 1631 | | | |
| | | | DATE MAILED: 08/13/2009 | | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

| | Application No. | Applicant(s) |
|--|---|---|
| | 10/091,360 | TSIPOURAS ET AL. |
| Notice of Allowability | Examiner | Art Unit |
| | LOBIA CLOW | 1621 |
| | LORI A. CLOW | 1631 |
| The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED or other appropriate committee IGHTS. This application is | in this application. If not included nunication will be mailed in due course. THIS |
| 1. \boxtimes This communication is responsive to <u>the response filed 7/9</u> | <u>9/2009</u> . | |
| 2. The allowed claim(s) is/are <u>48-55</u> . | | |
| 3. Acknowledgment is made of a claim for foreign priority u a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority do | e been received. e been received in Applica | ion No |
| International Bureau (PCT Rule 17.2(a)). | | ou in the handhar dage approach from the |
| * Certified copies not received: | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | | le a reply complying with the requirements |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which giv | | |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mu | st be submitted. | |
| (a) 🔲 including changes required by the Notice of Draftsper | son's Patent Drawing Revi | ew (PTO-948) attached |
| 1) 🗌 hereto or 2) 🔲 to Paper No./Mail Date | e. | |
| (b) ☐ including changes required by the attached ExaminerPaper No./Mail Date | s Amendment / Comment | or in the Office action of |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in | | |
| 6. DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT | | |
| | | |
| Attachment(s) 1. ☐ Notice of References Cited (PTO-892) | 5 ☐ Notice of | Informal Patent Application |
| 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) | | Summary (PTO-413), |
| 3. ☐ Information Disclosure Statements (PTO/SB/08), | Paper No | o./Mail Date <u>6/22/09</u> . 's Amendment/Comment |
| Paper No./Mail Date 4. | 8. 🛛 Examiner | 's Statement of Reasons for Allowance |
| or biological material | 9. 🔲 Other | |
| /Lori A. Clow/ | | |
| Primary Examiner, Art Unit 1631 | | |
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